JC06 Rec'd PCT/PTO 2.6 SEP 2005

FORM PT.D-1390 (Modified) (REV. 2-2')05) ATTORNEY'S DOCKET NUMBER U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE 92478-3800 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) **10/5**50669 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/004495 30 March 2004 31 March 2003 TITLE OF INVENTION FREQUENCY SYNGHRONIZATION APPARATUS AND FREQUENCY SYNCHRONIZATION METHOD APPLICANT(S) FOR DO/EO/US Yukihiro Omoto et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below. Ø The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. a. 🗆 is attached hereto (required only if not communicated by the International Bureau). \boxtimes has been communicated by the International Bureau. c. 🗆 is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🗀 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. 🖂 are attached hereto (required only if not communicated by the International Bureau). \boxtimes have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🖂 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: \bowtie An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). \bowtie 22. Express Mail Label No. EV631602130US \boxtimes 23. Other items or information:

Copy of PCT Published Application with Amended Pages; Copy of PCT Request; Copy of forms PCT/IB/301, 304, 308.

| U.S. APPLISATION NOTH HOWN, See 37 CFR 1.5) | | | INTERNATIONAL APPLICATION NO. | | | ATTORNEY'S DOCKET NUMBER | |
|---|---------------------------|----------|-------------------------------|-------|------------|--------------------------|---------|
| 10/990009 PCT/JP2004/004495 | | | | 04495 | 92478-3800 | | |
| The following fees are submitted: | | | | | | CALCULATIONS | PTO USE |
| 24. 🛭 Basic national fee | | | | | | \$ \$300.00 | |
| 25. 🗵 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). \$100 All other situations. \$200 | | | | | | | |
| 26. ⊠ Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding | | | | | | \$ \$500.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | |
| Total Sheets | Extra Sheets | | | | RATE | <u>.</u> | |
| 91 - 100 = | 0 /50 = | 0 | | | × \$250.00 | \$ \$0.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | \$ | |
| CLAIMS | NUMBER FILED NUMBER EXTRA | | | | RATE | | |
| Total claims | 17 | - 20 = 0 | | x | \$50.00 | \$ \$0.00 | |
| Independent clair | ns 5 | - 3= | 2 | х | \$200.00 | \$ \$400.00 | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) □ + \$360.00 | | | | | | \$ \$0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$ \$1,300.00 | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | | \$ \$0.00 | |
| SUBTOTAL = | | | | | | \$ \$1,300.00 | - |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ \$0.00 | |
| TOTAL NATIONAL FEE = | | | | | | \$ \$1,300.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | | | \$ \$40:00 | |
| TOTAL FEES ENCLOSED = | | | | | | \$ \$1,340.00 | |
| | | | | | | Amount to be | \$ |
| | | | | | | Amount to be | \$ |
| | | | | | | <u> </u> | 1.5 |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | |
| b. Please charge my Deposit Account No. 19-2814 in the amount of \$1,340.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | |
| c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2814 . A duplicate copy of this sheet is enclosed. | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | |
| Joseph W. Price SIQUATURI | | | | | | = | |
| SNELL & WILMER LLP Joseph W | | | | | | | |
| 600 Anton Boulevard, Suite 1400 | | | | | | | |
| Costa Mesa, CA 92626 NAME 25,124 | | | | | | | • |
| CUSTOMER NO: 52044 | | | | | | TION NUMBER | |

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